

Additional Fees charged by Velox Clearing

Domestic/International Wire	\$30/50
Returned Check/ Wire/ACH	\$30
Check Distribution domestic/International	\$5/\$10
International Delivery	At cost
Blue Sheets	\$5
DTC Delivery Transfer – stock/ Bonds	\$50/\$100 per position
ACAT (outbound)	\$100
Illiquid Charges	12% interest charge
Short interest	Pass through
ADR Pass-Through Fees	As passed by the ADR agent bank. Generally (\$0.01 – \$0.03) per share
Stock Mandatory Redemption (position exited out of DTC)	\$50
Manual Option Exercise	\$5 per exercise request
Worthless/Delisted or Non- Transferable Securities Written off	\$10 per write off
Account Inactivity Fee	\$10 quarterly
Errors (cancel & rebill)	\$5.00 per side
Order Handling Fee (Call the order Desk)	\$25.00
As of Trades	\$10.00 per trade
Corporate Actions	\$10 for Mandatory and \$50 for Voluntary
Interest Charge regular/high risk	Broker s Call Rate or Velox rate (whichever is higher) plus 100 bps/300 bps
Equity Trust IRA fee	\$50 annual fe, termination \$75
3 rd Party Charge	Pass through
DRS/DWAC	\$100/item
Buy-in or Sell-out	\$25

Univest Securities Commission Schedule

Self Online Trading	
Fixed	35.00
Broker-Assisted Trading	
Minimum	35.00
Maximum	5% of the total amount of trade